

ON THE NUMBER OF NOWHERE ZERO POINTS IN LINEAR MAPPINGS

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Let A be a nonsingular n by n matrix over the finite field GF_q , $k = \lfloor \frac{n}{2} \rfloor$, $q = p^a$, $a \geq 1$, where p is prime. Let $P(A, q)$ denote the number of vectors x in $(GF_q)^n$ such that both x and Ax have no zero component. We prove that for $n \geq 2$, and $q > 2 \binom{2n}{3}$, $P(A, q) \geq [(q-1)(q-3)]^k (q-2)^{n-2k}$ and describe all matrices A for which the equality holds. We also prove that the result conjectured in [1], namely that $P(A, q) \geq 1$, is true for all $q \geq n+2 \geq 3$ or $q \geq n+1 \geq 4$.

1. Introduction

Let GF_q be the finite field containing $q = p^a$ elements, where p is prime, $a \geq 1$, and let $GL_n(q)$ denote the set of all nonsingular n by n matrices whose entries are elements of GF_q . Let $(GF_q)^n$ denote the n -dimensional vector space over GF_q in which elements are the ordered n -tuples of elements of GF_q , and let $GF_q^* = GF_q \setminus \{0\}$. Given $A \in GL_n(q)$, we call $x \in (GF_q)^n$ a *good vector of A* if both x and Ax have no zero components. Let $P(A, q)$ denote the number of good vectors of A . In [1] the following conjecture was stated for all prime powers and proved for all proper prime powers $q = p^a$, $a \geq 2$:

Conjecture. *Let $A \in GL_n(q)$, where $q \geq 4$. Then $P(A, q) \geq 1$.*

First we show that the conjecture is correct for all $q \geq n+2 \geq 3$ and for all $q \geq n+1 \geq 4$, including q being prime (Theorem 1). Next we ask the following question: What is the $\min\{P(A, q) | A \in GL_n(q)\}$? We show that for $n = 2k \geq 2$ and $q > 2 \binom{2n}{3}$, this number is $[(q-1)(q-3)]^k$, while for $n = 2k+1 \geq 3$ and $q > 2 \binom{2n}{3}$, this number is $[(q-1)(q-3)]^k (q-2)$. We also describe all matrices $A \in GL_n(q)$ having the minimal number of good vectors.

2.

Theorem 1. *Let $A \in GL_n(q)$, where $q \geq n+2 \geq 3$ or $q \geq n+1 \geq 4$. Then $P(A, q) \geq 1$.*

Proof. We use a probabilistic argument. Let x be a randomly chosen vector obtained by picking each of its coordinates randomly and independently from GF_q^* according to the uniform distribution. For every fixed row of A , the probability that x is orthogonal to the row is at most $\frac{(q-1)^{n-1}}{(q-1)^n} = \frac{1}{q-1}$ since the row contains a nonzero element. Hence the expected number of zero coordinates in Ax is at most $\frac{n}{q-1} < 1$ for $q \geq n+2$. Thus the statement is proven in this case. If $q = n+1$, then this expected value is at most 1. If it is less than 1, the theorem is proven. If it is equal to 1, then the probability of x being orthogonal to every row of A is $\frac{1}{q-1}$, and this happens if and only if each row of A contains precisely two nonzero entries. For $n \geq 3$, the latter implies the existence of $x \in (GF_q^*)^n$ such that Ax has at least two zero components. Since the expected number of zero components of Ax is 1, there must be another vector $y \in (GF_q^*)^n$ such that Ay has no zero coordinates. Thus the theorem is proven for all $q \geq n+1 \geq 4$. ■

3.

Let $A = (a_{ij})$ and let a_i denote the i -th row of A , $i = 1, \dots, n$. By e_i we denote the i -th vector in the standard basis of $(GF_q)^n$, i.e. the vector whose i -th component is 1 and the other components are zeros. Let $B = \{b_1, \dots, b_n, b_{n+1}, \dots, b_{2n}\}$, where $b_i = e_i$ and $b_{n+i} = a_i$, $i = 1, 2, \dots, n$. The set B contains no zero vector since A is nonsingular. Let $B_i = \langle b_i \rangle^\perp$, $i = 1, \dots, 2n$, be the orthogonal complement of $\langle b_i \rangle$ in $(GF_q)^n$. Then $P(A, q) = \left| \bigcup_{i=1}^{2n} B_i \right| = \left| \bigcap_{i=1}^{2n} \overline{B_i} \right|$. By the inclusion-exclusion formula, we have

$$P(A, q) = \sum_{S \subseteq B} (-1)^{|S|} \left| \bigcap_{i \in S} B_i \right| = \sum_{S \subseteq B} (-1)^{|S|} q^{n-r(S)}, \quad (1)$$

where $r(S)$ is the rank of S . We will use some notions and results about geometric lattices (see [3] for the relevant definitions). In the geometric lattice L we consider, B is the set of atoms and, in general, the elements are of the form $B \cap X$ as X ranges over all subspaces of $(GF_q)^n$, \wedge is intersection, and \vee is calculated from the sum of subspaces. We call a minimal dependent subset of B a *circuit*. If the subset $\{b_{i_1}, b_{i_2}, \dots, b_{i_k}\}$ is a circuit with $i_1 < i_2 < \dots < i_k$, then the subset $\{b_{i_2}, b_{i_3}, \dots, b_{i_k}\}$ is called a *broken circuit*. The polynomial $P(A, q)$ is a well known polynomial in q called the *characteristic polynomial* of L . (See e.g. [3].) The properties of $P(A, q)$ are described in the following theorem, the proof of which can be found in [3].

Theorem 2. *Let L be a geometric lattice of rank m . The characteristic polynomial is*

$$f(L, \lambda) = \lambda^m + f_1 \lambda^{m-1} + f_2 \lambda^{m-2} + \dots + f_m,$$

where $(-1)^i f_i$ is a positive integer for $1 \leq i \leq m$, equal to the number of independent subsets of i atoms not containing any broken circuit. ■

Using Theorem 2, we can rewrite (1) as

$$P(A, q) = q^n - c_1 q^{n-1} + c_2 q^{n-2} - \dots + (-1)^n c_n, \quad (2)$$

where c_k , for $k = 1, \dots, n$, is the number of independent subsets of k vectors of B containing no broken circuits. This description of the c_i 's implies that

$$1 \leq c_i \leq \binom{2n}{i}, \text{ for } i = 1, \dots, n. \quad (3)$$

By Theorem 1, we know that for $q \geq n+2 \geq 3$ and for $q \geq n+1 \geq 4$, there is at least one good vector for any $A \in GL_n(q)$. The next theorem shows which matrices have the least number of good vectors when q is sufficiently large.

Theorem 3. Part 1: Let $n = 2k \geq 2$, $q = p^a$, p prime, $a \geq 1$, and $A \in GL_n(q)$. Then if $n = 2$ and $q \geq 3$, or $n \geq 4$ and $q > 2 \binom{2n}{3}$,

- (i) $P(A, q) \geq [(q-1)(q-3)]^k$;
- (ii) $P(A, q) = [(q-1)(q-3)]^k$ if and only if A is a block diagonal matrix

$$A = \begin{pmatrix} A_1 & & & \\ & A_2 & & 0 \\ & & \ddots & \\ 0 & & & A_k \end{pmatrix},$$

where A_i is a 2 by 2 nonsingular matrix over GF_q^* , or A is a matrix which can be brought to this form by some permutations of its rows and columns.

Part 2: Let $n = 2k+1 \geq 3$ and $A \in GL_n(q)$ with q as above. If $n = 3$ and $q \geq 3$, or if $n \geq 5$ and $q > 2 \binom{2n}{3}$, then

- (i) $P(A, q) \geq [(q-1)(q-3)]^k (q-2)$;
- (ii) $P(A, q) = [(q-1)(q-3)]^k (q-2)$ if and only if, upon permuting rows and columns, we obtain a block diagonal matrix

$$\begin{pmatrix} A_1 & & & \\ & A_2 & & 0 \\ & & \ddots & \\ 0 & & & A_k \end{pmatrix},$$

where each A_i with $1 \leq i \leq k-1$ is a 2 by 2 nonsingular matrix over GF_q^* while A_k is a 3 by 3 nonsingular matrix of one of the following two forms, where zeros occur only where they have been specified:

$$\begin{pmatrix} a_{11} & a_{12} & 0 \\ a_{21} & a_{22} & 0 \\ 0 & a_{32} & a_{33} \end{pmatrix}, \quad \begin{pmatrix} \alpha a_{31} & \alpha a_{32} & 0 \\ \beta a_{31} & 0 & \beta a_{33} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}, \quad \begin{pmatrix} a_{11} & a_{12} & 0 \\ a_{21} & a_{22} & 0 \\ \alpha a_{21} & \alpha a_{22} & a_{33} \end{pmatrix}. \quad (4)$$

Proof. We first treat the cases $n = 2$ and $n = 3$. These play an important role in the general case.

Lemma 1. *Let $A \in GL_2(q)$, with $q \geq 3$. Then $P(A, q) \geq (q-1)(q-3)$, with equality if and only if no entry of A is zero.*

Proof. When $n = 2$, there are three possible geometric lattices generated by the vectors e_1, e_2, a_1, a_2 , namely a two-point line, a three-point line, and a four-point line. The respective characteristic polynomials are $\lambda^2 - 2\lambda + 1 = (\lambda - 1)^2$, $\lambda^2 - 3\lambda + 2 = (\lambda - 1)(\lambda - 2)$ and $\lambda^2 - 4\lambda + 3 = (\lambda - 1)(\lambda - 3)$. Of these, the last is least when evaluated at q . Since the four-point line arises precisely when no entry of A is zero, this proves the lemma. ■

Lemma 2. *Let $A \in GL_3(q)$, with $q \geq 3$. Then $P(A, q) \geq (q-1)(q-2)(q-3)$, and equality holds if and only if the rows and columns of A can be permuted to produce a matrix of one of the forms given in (4).*

Proof. $P(A, q)$ is the characteristic polynomial of the rank-3 geometric lattice generated by the six vectors $e_1, e_2, e_3, a_1, a_2, a_3$. It is straightforward to check that if we evaluate at q the characteristic polynomials of rank-3 geometric lattices with 6 points and no 5-point line, the minimum obtained is $q^3 - 6q^2 + 11q - 6 = (q-1)(q-2)(q-3)$. Furthermore, only two geometries have this characteristic polynomial, namely the geometry formed by deleting a point from the Fano plane, and the geometry consisting of a three-point line intersecting a four-point line. These geometries arise precisely from the matrices described in the statement. ■

Turning to the general case, we want to describe all nonsingular matrices $A \in GL_n(q)$ for which $P(A, q)$ takes the smallest values provided that q is sufficiently large. Since the leading term in $P(A, q)$ is q^n , the same for all $A \in GL_n(q)$, then an extremal matrix A should maximize c_1 . According to Theorem 2, c_1 is the number of independent 1-subsets of B containing no broken circuits. Since B contains no zero vector, every vector of B forms an independent 1-subset. Therefore the greatest value of c_1 is $\binom{2n}{1}$ and the corresponding matrix A has no row which is a scalar multiple of a vector $e_i, i = 1, \dots, n$. We denote the class of such matrices A by \mathcal{F}_1 and the next question we ask is: for which $A \in \mathcal{F}_1$ is the second coefficient c_2 of $P(A, q)$ the smallest? Call this set of matrices \mathcal{F}_2 ; thus $\mathcal{F}_2 \subseteq \mathcal{F}_1$. According to Theorem 2, c_2 is the number of independent 2-subsets of B which contain no broken circuits. Since $A \in \mathcal{F}_1$, any two vectors of B are independent and a 2-subset of B contains a broken circuit if and only if it is a broken circuit. Therefore

$$c_2 = \binom{2n}{2} - |\{S \subseteq B : S \text{ is a 2-element broken circuit}\}|.$$

Clearly, c_2 is smallest if and only if the number of 2-element broken circuits of B is greatest, and our next step is to identify such sets B . The non-trivial lines (i.e. those containing more than two points) of the geometric lattice generated by B are of three types: $\{e_i, e_j, a_r\}$, $\{e_i, a_r, a_s\}$, and $\{e_i, e_j, a_r, a_s\}$ where $i < j$ and $r < s$. Lines of the first type give rise to a single 2-element broken circuit, namely $\{e_j, a_r\}$; those of the second type contribute the 2-element broken circuit $\{a_r, a_s\}$; however those of the third type give rise to three 2-element broken circuits, namely $\{e_j, a_r\}$, $\{e_j, a_s\}$ and $\{a_r, a_s\}$. This motivates the claim: The maximum number of 2-element broken circuits is $\lfloor 3n/2 \rfloor$. The following ideas and terminology will clarify the proof

of this claim. Consider the set of all 3-element circuits; thus these have the forms $\{e_i, e_j, a_r\}$ and $\{e_i, a_r, a_s\}$ where $i < j$ and $r < s$. Counting 2-element broken circuits amounts to counting the sets $\{e_j, a_r\}$ and $\{a_r, a_s\}$ we obtain from the 3-element circuits. Call a vector a_r a *weight-2 vector* if $\{e_i, e_j, a_r\}$ is a circuit for some e_i and e_j . (The terminology comes from coding theory.) Call a set $\{a_r, a_s\}$ arising from a circuit $\{e_i, a_r, a_s\}$ the *trace* of the circuit. Note that each trace should be counted once as a 2-element broken circuit (even though it may arise from either one or two 3-circuits) while each weight-2 vector a_r occurs in precisely one 3-circuit of the form $\{e_i, e_j, a_r\}$, and hence in precisely one 2-element broken circuit of the form $\{e_j, a_r\}$. Thus we want to count the traces and weight-2 vectors. Two further terms will be convenient. Generalizing the idea of weight-2 above, the *weight* of a vector a_i is the number of nonzero components of a_i . The *support* of a_i is the collection of distinct elements among e_1, \dots, e_n which occur with nonzero coefficients when a_i is expressed as a linear combination of e_1, \dots, e_n . Thus the weight of a_i is the cardinality of its support. Consider a simple graph whose vertex set is the set of all 3-circuits in B with an edge joining two 3-circuits if and only if the 3-circuits have a vector a_r in common. The bound $\lfloor 3n/2 \rfloor$ on 2-element broken circuits, claimed above, follows from the examination of the connected components of this graph given in Lemmas 3 through 5 below. Each trace $\{a_r, a_s\}$ arises from a vertex (or possibly two) $\{e_i, a_r, a_s\}$ in exactly one component; each weight-2 vector a_r arises from a vertex $\{e_i, e_j, a_r\}$ of exactly one component. Thus we want to examine traces and weight-2 vectors in components. For a component C , let $t(C)$ denote the set of traces of circuits in C , and let $w_2(C)$ denote the set of weight-2 vectors occurring as elements of vertices of C . Abusing terminology slightly, vectors a_i occurring as elements of vertices (3-circuits) of C will be called *vectors of C* . Finally, $A(C)$ will denote the set of vectors of C . Obviously the sets of vectors of distinct components are disjoint.

Lemma 3. *For any component C , we have $|t(C)| \leq |A(C)|$.*

Proof. The traces of C correspond to at least $|t(C)|$ distinct standard basis vectors. Thus at least $|t(C)|$ distinct standard basis vectors are in the span of $A(C)$. Therefore the inequality follows from elementary linear algebra. ■

Lemma 4. *Any component C contains at most two weight-2 vectors. Furthermore if C has two weight-2 vectors a_r and a_s , then either they have identical supports or there is a weight-3 vector a_t such that both $\{a_r, a_t\}$ and $\{a_s, a_t\}$ are traces.*

Proof. We first treat the case in which C contains two weight-2 vectors with the same support. For simplicity of notation, assume these vectors are a_1 and a_2 , and that their common support is e_1, e_2 . For any other vector a_u of C , consider all paths which start with a circuit containing either a_1 or a_2 and end at a circuit containing a_u . Out of all such paths we choose one of minimum length. We observe that no interior vertex of such a path is of the form $\{e_i, e_j, a_k\}$. Indeed, if this were the case, then both the predecessor and the successor of $\{e_i, e_j, a_k\}$ would contain a_k by the definition of edges in our graph. Then we could bypass $\{e_i, e_j, a_k\}$ (since its neighbors have a_k in common) and thereby shorten the path. Similarly the first and last vertices of the path are not of the form $\{e_i, e_j, a_k\}$. Therefore we may assume that the shortest path is of the form

$$\{e_3, a_2, a_3\}, \{e_4, a_3, a_4\}, \dots, \{e_{u-1}, a_{u-2}, a_{u-1}\}, \{e_u, a_{u-1}, a_u\}$$

(or $\{e_3, a_1, a_3\}, \{e_4, a_3, a_4\}, \dots, \{e_{u-1}, a_{u-2}, a_{u-1}\}, \{e_u, a_{u-1}, a_u\}$ if this yields a shorter path) where we have relabeled the elements to simplify notation. Note that the vectors a_1, a_2, \dots, a_u are distinct since the path has minimum length. Since a_3 is distinct from a_1 and a_2 , it follows that e_3 is distinct from e_1 and e_2 (justifying the relabeling) and so a_3 has weight 3. Since all elements in the support of a_3 can be written in terms of a_1, a_2, a_3 , it follows that $e_4 \notin \{e_1, e_2, e_3\}$, and so a_4 has weight 4. Continuing in this manner, it follows that all vectors of C other than a_1 and a_2 have weights greater than 2. Now assume that C contains at least two weight-2 vectors a_1 and a_s , and that any two weight-2 vectors of C have different supports. Consider a path of minimum length between circuits containing a_1 and a_s , say $\{e_{i_2}, a_1, a_2\}$ and $\{e_{i_s}, a_{s-1}, a_s\}$. Without loss of generality, we assume that a_1 has support e_1, e_2 . Since a_1 has weight 2 and a_2 has a different support, e_{i_2} is distinct from e_1 and e_2 , and so a_2 has weight 3. Looking at successive vertices in the path $\{e_{i_2}, a_1, a_2\}, \dots, \{e_{i_s}, a_{s-1}, a_s\}$, note that either

- (a) the weight of a_k is one greater than that of a_{k-1} , or
- (b) the weight of a_k is one less than that of a_{k-1} and either $\{e_1, a_{k-1}, a_k\}$ or $\{e_2, a_{k-1}, a_k\}$, but not both, is a circuit, or
- (c) a_{k-1} and a_k share common support and either $\{e_1, a_{k-1}, a_k\}$ or $\{e_2, a_{k-1}, a_k\}$, but not both, is a circuit.

Indeed, let $h \geq 3$ be the least index such that the weight of a_{h-1} is at least as big as the weight of a_h . Then all vectors a_1, \dots, a_h are distinct due to the minimality of the path, and all vectors $e_1, e_2, e_{i_2}, \dots, e_{i_{h-1}}$ are distinct since they form the support of a_{h-1} . Vector e_{i_h} must belong to the supports of both a_{h-1} and a_h . It must be distinct from vectors $e_{i_2}, \dots, e_{i_{h-1}}$, otherwise we obtain linear dependence among distinct vectors a_1, \dots, a_h . Therefore $e_{i_h} \in \{e_1, e_2\}$. Since condition (b) allows the weight to go down only once as we consider successive a_k 's, a_s can have weight-2 if and only if the path has length 1, say $\{e_3, a_1, a_2\}, \{e_1, a_2, a_3\}$, and the elements are of the form $a_1 = \delta\alpha e_1 + \delta\beta e_2$, $a_2 = \alpha e_1 + \beta e_2 + \gamma e_3$ and $a_3 = \epsilon\beta e_2 + \epsilon\gamma e_3$, where none of the coefficients are zero. From this it is easy to see that there are only two weight-2 vectors in the component C . ■

We now prove the inequality claimed above for 2-element broken circuits, recast in terms of traces and weight-2 vectors, for each component.

Lemma 5. *For any component C with $|A(C)|$ even, we have*

$$|t(C)| + |w_2(C)| \leq \frac{3|A(C)|}{2},$$

with equality if and only if $|A(C)| = 2$, $|t(C)| = 1$ and $|w_2(C)| = 2$. If $|A(C)|$ is odd, then we have

$$|t(C)| + |w_2(C)| \leq \frac{3|A(C)| - 1}{2},$$

with equality if and only if either

- (a) $|A(C)| = 1$, $|t(C)| = 0$ and $|w_2(C)| = 1$, or
- (b) $|A(C)| = 3$, $|t(C)| = 2$ and $|w_2(C)| = 2$.

Proof. The cases of $|A(C)|$ being either 1 or 2 are obvious, and the case $|A(C)| = 3$ follows from the ideas in the proof of Lemma 4. For $|A(C)| = 4$, the bound of

6 follows since $|t(C)| \leq |A(C)| = 4$ and $|w_2(C)| \leq 2$. Furthermore the ideas in the proof of Lemma 4 show that when $|A(C)| = 4$, we have that $|w_2(C)| = 2$ implies that $|t(C)| \leq 3$. Hence equality never occurs in this case. The case $|A(C)| = 5$ is similar. All cases with $|A(C)| \geq 6$ follow directly from Lemma 3 and the inequality $|w_2(C)| \leq 2$ of Lemma 4. ■

Applying Lemma 5 to the components of the graph gives us the desired inequality about 2-element broken circuits in $B = \{e_1, \dots, e_n, a_1, \dots, a_n\}$ and allows us to describe the cases of equality as follows.

Lemma 6. *The maximum number of broken circuits in $B = \{e_1, \dots, e_n, a_1, \dots, a_n\}$ is $\lfloor 3n/2 \rfloor$. The cases of equality arise precisely when by permuting the rows and columns of A , a matrix of the form in Theorem 3 can be obtained.* ■

From here on, the differences between the cases of even n and odd n are minimal, and so we shall focus on the even case. We just argued that, by proper permutations of its rows and columns, the matrix A can be brought to a block diagonal form

$$A' = \begin{pmatrix} A_1 & & & \\ & A_2 & 0 & \\ & 0 & \ddots & \\ & & & A_k \end{pmatrix}, \quad (5)$$

where $A_i \in GL_2(q)$ and A_i has no zero entries. Notice that $P(A, q) = P(A', q)$.

Thus our attempt to find all $A \in GL_n(q)$ for which c_1 is the greatest (class \mathcal{F}_1), and then out of all matrices of \mathcal{F}_1 to choose the ones for which c_2 is the least (class \mathcal{F}_2) led to the complete characterization of the matrices. If $A \in \mathcal{F}_2$, then

$$P(A, q) = q^n - \binom{2n}{1} q^{n-1} + \left[\binom{2n}{2} - \frac{3n}{2} \right] q^{n-2} - c_3 q^{n-3} + \dots + (-1)^n c_n.$$

In order to compute $P(A, q)$ we use Lemma 1 (similarly, use both Lemmas 1 and 2 for the odd case).

Let $A \in \mathcal{F}_2$, and we may assume that A has a block diagonal form (5) with $A_i \in GL_2(q)$, and A_i having no zero entries. A vector $x = (x_1, x_2, \dots, x_{n-1}, x_n)$ is a good vector of A if and only if (x_{2i-1}, x_{2i}) a good vector of $A_i, i = 1, \dots, k$ (recall $n = 2k$). By Lemma 1 there are exactly $(q-1)(q-3)$ choices for (x_{2i-1}, x_{2i}) for each $i = 1, 2, \dots, k$. Hence there are $[(q-1)(q-3)]^k$ good vectors of $A \in \mathcal{F}_2$. Therefore Theorem 3 is proved for all sufficiently large q , i.e. for all $q \geq q_0$, where q_0 is some constant depending on n . An estimate on q_0 can be taken as an upper bound M for the absolute values of the roots of the polynomial $H(q) = P(A, q) - P(A^*, q)$ where $A \in GL_n(q) \setminus \mathcal{F}_2, A^* \in \mathcal{F}_2$. Then for all $q > M$, we have $H(q) > 0$. In order to compute M in terms of the coefficients of $H(q)$ we use the following proposition due to Fujiwara [2]; for a reference in English see Wilf [4]:

Lemma 7. *All the roots of the polynomial $f(z) = f_0 z^n + f_1 z^{n-1} + \dots + f_n$ lie in the circle $|z| \leq R = 2 \max \left\{ \left| \frac{f_i}{f_0} \right|^{1/i} : 1 \leq i \leq n \right\}$.* ■

Let $P(A, q) = q^n - c_1 q^{n-1} + c_2 q^{n-2} - \dots + (-1)^n c_n$ and $P(A^*, q) = q^n - c_1^* q^{n-1} + c_2^* q^{n-2} - \dots + (-1)^n c_n^*$. Then $H(q) = h_1 q^{n-1} + h_2 q^{n-2} + \dots + h_n$, where $h_i = (-1)^i (c_i - c_i^*)$, $i = 1, \dots, n$. The coefficient h_1 is 0 if $A \in \mathcal{F}_1 \setminus \mathcal{F}_2$, and satisfies $1 \leq h_1 < \binom{2n}{1}$ if $A \in GL_n(q) \setminus \mathcal{F}_1$. From (3) we have

$$0 \leq |h_i| \leq \binom{2n}{i}, \text{ for } i = 2, \dots, n. \quad (6)$$

If $h_1 \neq 0$, then by Lemma 7, we get

$$R_1 = 2 \max \left\{ \left| \frac{h_{1+i}}{h_1} \right|^{1/i} : 1 \leq i \leq n-1 \right\}. \quad (7)$$

If $h_1 = 0$, then $h_2 \geq 1$ (since $A \in \mathcal{F}_1 \setminus \mathcal{F}_2$) and so by Lemma 7, we get

$$R_2 = 2 \max \left\{ \left| \frac{h_{2+i}}{h_2} \right|^{1/i} : 1 \leq i \leq n-2 \right\} \quad (8)$$

Lemma 8. $R_1 \leq 2 \binom{2n}{2}$, and $R_2 \leq 2 \binom{2n}{3}$.

Proof. Using (6), (7), and (8) we have:

$$R_1 \leq 2 \max \left\{ \left(\binom{2n}{1+i} \right)^{1/i} : i = 1, \dots, n-1 \right\},$$

and

$$R_2 \leq 2 \max \left\{ \left(\binom{2n}{2+i} \right)^{1/i} : i = 1, \dots, n-2 \right\}.$$

It is a straightforward verification that both sequences $\left(\binom{2n}{i+1} \right)^{1/i}$, $i = 1, \dots, n-1$, and $\left(\binom{2n}{2+i} \right)^{1/i}$, $i = 1, \dots, n-2$ are decreasing. Hence their first terms are the largest, and this proves the lemma. \blacksquare

Since $\max\{R_1, R_2\} = R_2 = 2 \binom{2n}{3}$ for $n \geq 3$, then $P(A, q) > P(A^*, q)$ for all $q > 2 \binom{2n}{3}$ and all $A \in GL_n(q) \setminus \mathcal{F}_2$. This ends the proof of Theorem 3. \blacksquare

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